



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,865	06/29/2001	Gary J. Swanson	2847.1001-011

CONFIRMATION NO. 5881

## FORMALITIES LETTER

\*OC000000006994480\*

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Lexington, MA 02421-4799

Date Mailed: 10/31/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/28/2001 to the Notice to File Missing Parts (Notice) mailed 07/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Abstract must be on a separate sheet.

*A copy of this notice **MUST** be returned with the reply.*

*Request Woldeyes*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

NSP/cmb

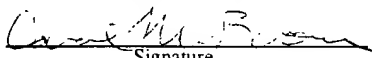
November 12, 2001



PATENT APPLICATION  
Docket No.: 2847.1001-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary J. Swanson  
Serial No.: 09/895,865 ✓ Group: 2872  
Filed: June 29, 2001  
For: SYSTEM AND METHOD FOR EFFICIENT ILLUMINATION  
IN COLOR PROJECTION DISPLAYS

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on 11/13/01	
Date	Signature
Carol M. Brown	
Typed or printed name of person signing certificate	

REPLY TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

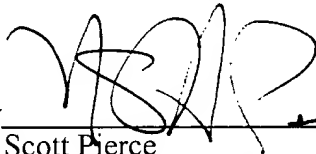
Dear Sir:

In reply to a Notice of Incomplete Reply (Nonprovisional), mailed from the U.S. Patent and Trademark Office on October 31, 2001, Applicant encloses an abstract for the above-referenced nonprovisional application on a separate sheet (new page 36). The abstract is a duplicate of a paragraph under the subheading "In the Abstract" on page 7 of the Preliminary Amendment, which was mailed to the U.S. Patent and Trademark Office with the Reply to Notice to File Missing Parts on September 25, 2001. A copy of the Preliminary Amendment is enclosed along with a copy of the postcard receipt date stamped by the U.S. Patent and Trademark Office. No new matter has been added.

Applicant believes that Reply to the Notice to File Missing Parts mailed on July 25, 2001 is complete. A petition to extend the period for reply to the original Notice to File Missing Parts for two months, from September 25, 2001 to November 25, 2001, and the requisite fee also are enclosed, along with a copy of the Notice of Incomplete Reply (Nonprovisional).

Respectfully submitted,

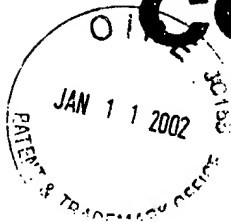
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Date: 11/12/01

**COPY**



NSP/cmb

2847.1001-011

September 25, 2001

This is to acknowledge receipt of the following documents each filed under Certificate of Mailing Procedure 37 C.F.R. 1.8:

- ☒ Reply to Notice to File Missing Parts w/ Copy (Formalities Letter Attached)  
\_\_\_\_\_ including Pet Ext Time w/fee  
\_\_\_\_\_ EXECUTED DECL/POA \_\_\_\_\_ docs  
☒ Copy of executed Declaration/POA from prior Application No. 08/443,180  
☒ FILING FEE w/Fee Transmittal w/copy \_\_\_\_\_ Stmt of Small Entity Status  
☒ SURCHARGE included \_\_\_\_\_ SEQ LIST (separate transmittal)  
☒ SUBSTITUTE DRAWINGS - 8 sheets, Figs. 1, 1A, 2, 2A, 3 - 9 and 9A  
☒ Check for \$ 1,136.00 \_\_\_\_\_ Authorization to Charge all Fees  
☒ Other Preliminary Amendment, copy of Revocation of Powers of Attorney and Appointment of New Attorneys from prior Application No. 08/443,180

Applicant: Gary J. Swanson

Application No.: 09/895,865

Filed: June 29, 2001

Title: SYSTEM AND METHOD FOR EFFICIENT ILLUMINATION . . .

Date received by the PTO: